

EXTRACT

from the Register of Investment Funds kept by the Regional Court  
in Warsaw, 7th Civil and Family Division, Register Section

**Rockbridge Fundusz Inwestycyjny Zamknięty Long - Short** [*Rockbridge Long-Short Closed-end Investment Fund*]

RFI [*Register of Investment Fund*] number **1533**

1. The first field - next entry number **14**
2. The second field - fund name, type, structure and kind, identification number - REGON [*National Official Business Register*], and in case of feeder fund - also name, type and entry number in register of master fund or if the master fund is a foreign fund - the name of master fund together with information on entry of the master fund in the proper register and name of management company or investment fund society designated to manage the master fund; the fund with separated sub-funds - names of established sub-funds.

Fund name **Rockbridge Fundusz Inwestycyjny Zamknięty Long - Short**

Fund type **closed-end fund**

Fund structure and kind - **no entry**

REGON identification number **368766837**

RFI number **1533**

3. The third field - investment objective and duration of fund, in case of fund with separated sub-funds provide the investment objective for each sub-fund separately.  
Fund investment objective:  
**The objective of the Fund is the increase of value of Fund Assets as a result of increase of value of deposits.**  
Fund duration:  
**The fund was established for an indefinite period of time.**
4. The forth field - business name, registered office and address of the investment fund society, manner of its representation, entry number of society in the register of entrepreneurs together with designation of the court keeping the register and business name, registered office and address of management company or UE managing body - if the society concluded agreement referred to in Art. 4 section 1a or 1b of the Act of 27 May 2004 on investment funds and managing of alternative investment funds. business name, registered office and address of the investment fund society:

**Rockbridge Towarzystwo Funduszy Inwestycyjnych Spółka Akcyjna with its registered office in Warsaw, 00-061 Warsaw, ul. Marszałowska 142**

Fund representation manner:

**two members of the management board acting jointly or one member of the management board with proxy, including also one joint proxy**

Entry number of society in the register of entrepreneurs: **KRS [National Court Register] 0000002970**

Designation of the court keeping the register:

**District Court for the capital city of Warsaw in Warsaw, 12th Commercial Division of the National Court Register**

5. The fifth field - information on commencement, suspension or resumption of operations by the board of investors and the list of its members, information on operations of the board of investors in the fund.

Information on operations of the meeting of investors in the fund:

**The Meeting of Investors acts in the fund under rules determined in Art. 6 of the Articles of Association.**

6. The sixth field - the members of the management board of the investment fund society, proxies and attorneys-in-fact to whom the general power of attorney to represent the fund was granted.

Members of the Management Board:

**Tomasz Stadnik - President of the Management Board**

**Piotr Robert Dygas - Vice President Of the Management Board**

**Witold Chuś - Vice President of the Management Board**

proxies:

**Janusz Jerzy Niemiec - the proxy involves court and out-of-court actions of the company performed on the joint representation basis**

**Monika Łasiewicka - the proxy involves court and out-of-court actions of the company performed on the joint representation basis**

7. The seventh field - business name or name, registered office and address of the depository.

**Bank BGŻ BNP Paribas Spółka Akcyjna with its registered office in Warsaw, 01-211 Warsaw, ul. Kasprzaka 10/16**

8. The eight field - information on articles of association of the fund and amendments to it with specification of those amendments.

**The Articles of Association of the Fund was adopted and provided to the Fund by Rockbridge Towarzystwo Funduszy Inwestycyjnych SA with its registered office in Warsaw under the notarial deed of 4 October 2017. Repertory A no. 9098/2017, drawn up before the notary public, Piotr Skoworodko, running the Notarial Office in Warsaw at ul. Wspólna 25.**

**The amendment of the Articles of Association regarding Art. 5 section 2 in the wording determined in the notarial deed of 13 November 2017. Repertory A no. 10243/2017, drawn up before Igor Soroka, the notary public in Warsaw, which was published on the website**

of the Society on 13 November 2017 and entered into force upon publication.

The amendment of the Articles of Association regarding Art. 2 in the scope of definitions of the "Assets Held", "Active Market", "CCP", "AFI Exposure", "Records", "Local entity", "Regulation 231/2013", Art. 6, Art. 7 section 7, the amendment of the title of the Chapter IV, the amendment of Art. 8 section 9, Art. 8 section 11, Art. 9 section 1, Art. 9 section 3, the amendment of the title of the Chapter V, the amendment of Art. 12 section 3, Art. 12a, the addition of Art. 12b and 12c, the amendment of the title of the Chapter VI, the amendment of Art. 14 section 4, Art.15 section 6, Art. 15 section 7, Art. 16 section 6 point 1), Art. 19 section 2 point 2), the amendment of the title of the Chapter VIII, the amendment of the title of the Chapter IX, the amendment of Art. 22 section 3, Art. 22 section 4, the addition in Art. 22 section 5a, the amendment of Art. 22 section 9, Art. 22 section 12, Art. 22 section 25, Art. 22 section 26, Art.22 section 27, Art. 22 section 29, Art. 22 section 32, Art. 24 section 6 and the amendment of the designation and title of the current Chapter XI, the amendment of Art. 26, the amendment of designation and title of the current Chapter XII, the amendment of Art. 28 section 1 point 1), Art. 28 section 1 point 5), Art. 28 section 1 point 17), the addition in Art. 28 section 1 points 18) - 19), the amendment of Art. 28 section 3, Art. 28 section 4, the amendment of designation and title of the current Chapter XIII, the deletion in Art. 29 section 4, the amendment of designation and title of the current Chapter XIV, the amendment of designation and title of the current Chapter XV, the amendment of designation and title of the current Chapter XVII in the wording determined in the copy of the notarial deed of 17 November 2017. Repertory A no. 10379/2017, drawn up before Piotr Skoworodko, the notary public in Warsaw, which were published on the website of the Society on 17 November 2017 and entered into force upon publication, except for the amendment of Art. 22 section 3, Art. 22 section 4, Art. 22 section 5a, Art. 22 section 9, Art. 22 section 12, Art. 22 section 25, Art. 22 section 26, Art. 22 section 27, Art. 22 section 29, Art. 22 section 32, Art. 24 section 6 which shall enter into force within 3 months upon publication.

The amendment of the Articles of Association regarding Art. 2, the addition of Art. 12d - 12f in the wording determined in the copy of the notarial deed of 5 January 2018. Repertory A No. 119/2018 drawn up before Monika Kędzierska, the notary public in Warsaw, which was published on the website of the Society on 5 January 2018 and entered into force upon publication.

The amendment of the Articles of Association regarding Art. 2, Art. 8 section 1, the addition of Art. 12g, Art. 12h, Art. 12i, the deletion of Art. 19 section 2 point 4), the amendment of the title and content of Art. 25, the amendment of Art. 26 section 1 point 1), Art. 26 section 2, the deletion of Art. 26 section 3, the amendment of Art. 28 section 6, the deletion of Art. 30 section 2 point 3), the amendment of Art. 30 section 2 point 4, Art. 30 section 2 point 5 letter c) in the wording determined in the copy of the notarial deed of 23 February 2018. Repertory A No. 1638/2018 drawn up before Igor Soroka, the notary public in Warsaw, which was published on the website of the Society on 27 February 2018 and entered into upon publication.

The amendment of the Articles of Association regarding Art. 3 section 3, Art. 30 section 2 point 4 in the wording determined in the copy of the notarial deed of 24 April 2018. Repertory A No. 4010/2018 drawn up before Igor Soroka, the notary public in Warsaw, which was published on the website of the Society on 7 May 2018 and entered into force upon publication.

The amendment of the Articles of Association regarding the amendment of the Fund's name, the amendment of Art. 1 section 1, Art. 2 in the scope of definition of the "Fund" in the wording determined in the copy of the notarial deed of deed of 4 June 2018 Repertory A no. 5116/2018, drawn up before Piotr Skoworodko, the notary public in Warsaw, which was published on the website of the Society on 5 June 2018 and entered into force upon publication.

The amendments of the Articles of Association regarding the addition of Art. 12j, Art. 12k, Art. 12l in the wording determined in the copy of the notarial deed of 3 July 2018. Repertory A No. 6092/2018 drawn up before Igor Soroka, the notary public in Warsaw, which were published on the website of the Society on 3 July 2018 and entered into force upon publication.

The amendments of the Articles of Association regarding the addition of Art. 12m-12o, the amendment of Art. 26 section 2 in the wording determined in the copy of the notarial deed of 28 September 2018, Repertory A No. 8478/2018, drawn up before Igor Soroka, the notary public in Warsaw, which were published on the website [www.rockbridge.pl](http://www.rockbridge.pl) on 28 September 2018 and entered into force upon publication.

The amendment of the Articles of Association regarding the addition of Art. 26 sections 2a-2b in the wording determined in the copy of the notarial deed of 12 October 2018, Repertory A No. 8841/2018, drawn up before Igor Soroka, the notary public in Warsaw, which were published on the website [www.rockbridge.pl](http://www.rockbridge.pl) on 16 October 2018 and entered into force upon publication.

The amendments of the Articles of Association regarding Art. 26 section 2 in the wording determined in the copy of the notarial deed of 31 October 2018, Repertory A no. 9495/2018, drawn up before Igor Soroka, the notary public in Warsaw, which were published on the website [www.rockbridge.pl](http://www.rockbridge.pl) on 5 November 2018 and entered into force upon publication.

The amendment of the Articles of Association regarding Art. 1 section 1, Art. 2 the definition of the "Depositary", Art. 26 section 1 point 4 in the wording determined in the copy of the notarial deed of 30 November 2018, Repertory A no. 10522/2018, drawn up before Igor Soroka, the notary public in Warsaw, which were published on the website [www.rockbridge.pl](http://www.rockbridge.pl) on 30 November 2018 and entered into force upon publication.

9. The ninth field - number of investment certificates of all issues, class name and number of investment certificates of a given class (in case of closed-end investment fund).

**investment certificates of all issues: 2040755**  
**number of A class investment certificates: 89000**  
**number of B class investment certificates: 572643**

**number of C class investment certificates: 880294**  
**number of D class investment certificates: 34526**  
**number of E class investment certificates: 71896**  
**number of F class investment certificates: 138584**  
**number of G class investment certificates: 50721**  
**number of H class investment certificates: 28827**  
**number of I class investment certificates: 90432**  
**number of J class investment certificates: 46779**  
**number of K class investment certificates: 23399**  
**number of L class investment certificates: 13654**

10. The tenth field - information on fund management take-over with specification of management take-over agreement and date, information on transformation or merger of fund (sub-fund) with specification of the transformation or merger type, information on data of transformed fund or data of acquiring fund (sub-fund) or entity established due to merger of transformation of fund (sub-fund) along with information on decision of the Polish Financial Supervisory Authority, if it was required, or on the adopted resolution of the relevant body of the fund in the matter of transformation or merger, information on initiation of fund liquidation, data of liquidators and manner of representation of liquidator if the liquidator is the entity other than investment fund society.

- **no entry**

11. The eleventh field - information on submitted annual fund financial statements.

*for the period from 13 November 2017 to 31 December 2018.*

*entry under decision, case file reference number VII NS Rej FI 2671/19 of 28-05-2019 - invalid decision*

12. The twelfth field - entry date and name and surname of the court secretary making entry in the system referred to in § 3.

13-11-2017 - Aneta Wolińska 17-01-2018 - Marzena Szulc 12-02-2018 - Marzena Szulc  
18-04-2018 - Marzena Szulc 18-06-2018 - Marzena Szulc 12-07-2018 - Marzena Szulc  
18-07-2018 - Marzena Szulc 04-09-2018 - Marzena Szulc 21-09-2018 - Marzena Szulc  
22-11-2018 - Marzena Szulc 23-11-2018 - Marzena Szulc 19-12-2018 - Marzena Szulc  
02-04-2019 - Marzena Szulc 28-05-2019 - Teresa Jackowska

13. The thirteenth field - date of deleting the fund from the register.

- **no entry**

14. The fourteenth field - notices

- **no entry**

Office fee collected in the amount of PLN 30.

*[round seal with the Polish national emblem in the middle  
and the following circumscription:]*  
REGIONAL COURT IN WARSAW

Certified true copy  
[illegible stamp]  
[illegible signature]